

## **Robert B. Buehler**

Partner

New York

### **Biography**

A former federal prosecutor in the influential Southern District of New York, Bob Buehler has over 25 years of experience in all facets of white collar and government enforcement work. As a partner in our Investigations, White Collar and Fraud group, Bob represents major financial institutions and other large corporations, as well as their most important employees, in high-stakes and sensitive government investigations.

Bob spent more than 11 years as an Assistant United States Attorney in Manhattan, where he eventually rose to become Chief of the Organized Crime & Terrorism Unit. As a federal prosecutor, Bob tried more than a dozen jury trials to verdict and argued numerous appeals to the U.S. Court of Appeals for the Second Circuit.

Bob draws from his vast experiences to advise clients in investigations involving complex financial and securities frauds, antitrust violations, foreign corruption and bribery, and insider trading. He routinely defends banks, brokerage firms, and their senior executives in all manner of government investigations.

Bob also conducts internal investigations for corporations in a variety of fields, including finance, banking, and shipping. After more than a quarter century in private practice and government, Bob is sensitive to the risks and concerns, both reputational and financial, that corporations, large and small, face in these difficult and challenging situations.



### **Phone**

+1 212 918 3261

### **Fax**

+1 212 918 3100

### **Email**

[robert.buehler@hoganlovells.com](mailto:robert.buehler@hoganlovells.com)

---

### **Practices**

Investigations, White Collar, and Fraud

Financial Services

Litigation Services

---

### **Industries**

Financial Institutions

Education

Life Sciences and Health Care

Real Estate

---

### **Areas of focus**

Anti-money Laundering

Bribery and Corruption

Bob has represented various corporate and individual clients in cross-border and multijurisdictional investigations, as well as court proceedings. His experience translates into an understanding of the unique challenges that arise when dealing with multiple law enforcement agencies in different states and countries.

## Representative experience

Represented a publicly traded real estate investment trust in parallel DOJ and SEC investigations in the Southern District of New York of alleged accounting and securities fraud.

Represented a former Chief Executive Officer of a Hungarian telecommunications company in an SEC enforcement action alleging FCPA violations.

Conducted an internal investigation for a major American university in connection with possible financial improprieties and payment of bribes related to admissions decisions.

Represented a Big Four accounting firm in parallel DOJ and SEC investigations of alleged FCPA violations in the telecommunications industry in an Eastern European country.

Represented a manufacturer of military guidance and optical equipment in a DOJ investigation in the Eastern District of New York of alleged export controls and ITAR violations.

Conducted an internal investigation at a major food and beverage producer regarding allegations of improper reporting of equipment safety issues.

Represented a former Chief Compliance Officer of a high frequency trading firm in DOJ and CFTC investigations of alleged spoofing activities.

Represented brokers at a major financial institution in a CFTC investigation of alleged collusion and other trading improprieties in interest rate swaps market.

Represented a former compliance officer at an international financial institution in a CFTC

Export Controls

Risk Management, Governance, and Compliance

Anti-Corruption Compliance and Due Diligence

Financial Services Regulatory Investigations and Enforcement

Whistleblowing

---

## Education and admissions

### Education

J.D., Columbia Law School, three-year Stone Scholar, 1989

B.A., Connecticut College, summa cum laude, 1985

---

## Bar admissions and qualifications

New York

---

## Court admissions

U.S. District Court, Eastern District of New York

U.S. District Court, Southern District of New York

---

investigation of alleged swap dealer reporting and other Dodd Frank violations.

Conducted an internal investigation at a private equity investment firm concerning allegations of sexual harassment and related misconduct by a former employee.

Represented a former Chief Executive Officer at a major retail institution in parallel DOJ and SEC investigations of alleged insider trading and Regulation FD violations.

Represented a major American university in an investigation of alleged misappropriation and diversion of grant funds by employees and others.

Represented a trader at a major financial institution in parallel DOJ and SEC investigations related to alleged trading improprieties in the secondary RMBS market.

Represented a major American university in an investigation by the New York Attorney General's Office into health plans and student health insurance.

Conducted an internal investigation for a non-profit community and educational institution concerning allegations of sexual misconduct.

Represented a Big Four accounting firm in connection with a joint DOJ and SEC investigation into an investment a bank's write-off of billions of dollars in CDO assets.

Represented a publicly traded computer software security company in an investigation by the New York Attorney General's Office of allegedly deceptive online sales practices.

Represented two shipping companies in various investigations into alleged anticompetitive conduct in the car carrier industry, including a criminal antitrust investigation by the DOJ.

Represented a major financial institution in DOJ and SEC investigations in the Northern District of California alleging accounting and securities fraud in connection with a corporate transaction.

Represented an accountant in a DOJ criminal

prosecution alleging tax evasion, money laundering, and wire fraud, in the Southern District of NY, in connection with the release of the Panama Papers.

Represented managing directors at different financial institutions in DOJ, SEC, and state investigations alleging securities fraud involving residential mortgage-backed securities.

Advised a medical device manufacturer regarding an anonymous complaint alleging a possible transfer of non-public information to an institutional investor in connection with a corporate transaction.

Represented a CFO in a DOJ investigation, an SEC enforcement action, a related class action and shareholder derivative litigation, in connection with the company's stock option practices.

Represented a VP of Finance at an equipment rental company in related DOJ and SEC investigations of alleged accounting fraud related to the company's purchase accounting practices.

## Awards and rankings

- Dispute Resolution: Corporate Investigations and White-Collar Criminal Defense, *Legal 500 US*, 2018-2019