

Simi Malhi

Associate

London

Biography

Simi Malhi is an associate in the Global Regulatory team and advises on a full range of financial regulation and international trade and policy issues.

Simi assists clients in navigating complex issues arising out of the changing regulatory landscape. Her work includes regulatory advice, conduct of business requirements, financial promotions, sustainable investment, sanctions, export controls and customs and import compliance.

Representative experience

Advising a major defense client on all aspects on its compliance with UK export controls and customs compliance and self-disclosure obligations to the UK regulators.

Advising HM Treasury on an ongoing basis on trade negotiations with New Zealand.

Advising an international corporation on sanctions and export control compliance and the drafting of internal sanctions compliance policies and sanctions uplift programs after a global risk assessment

Advising financial institutions on transaction-specific assessment of sanctions compliance from a UK and EU perspective.

Advising a number of financial institutions (both regulated and unregulated) on the scope of the UK regulatory perimeter including the application of the



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Languages

English

Practices

Financial Services

International Trade and Investment

Trade and Export Finance

Industries

Aerospace and Defense

Consumer

Financial Institutions

Areas of focus

Brexit

financial promotions regime.

Advising a range of entities on reporting requirements including under MiFIR, EMIR, MAR and the FCA Handbook.

Latest thinking and events

- Insights and Analysis
 - Definition of “UK Person” under UK Sanctions Legislation includes British National Overseas Citizens
- News
 - Frozen assets report due 11 November for UK Persons holding or controlling frozen assets
- Insights and Analysis
 - OFSI Director Giles Thomson discusses UK sanctions developments
- News
 - UK introduces new sanctions measures and extends OFSI reporting obligations
- News
 - From 15 June the UK sanctions regime will be moving to a strict liability test
- News
 - The UK introduces internet-related trade sanctions under the 2019 Russian Regulations

Customs and Import Compliance

Dealing with Financial Services Regulators

Export Controls

Global Regulatory in the UK

Organizational Governance and Financial Institutions

Sanctions

Trade Association Governance

WTO Policy and Legislation

Trade Legislation, Policy, and Negotiations

Education and admissions

Education

BA (Law) LLB, University of Kent, 2015

Bachelor of Commerce (BCom), University of British Columbia, 2012
