

Katherine Tsang

Senior Associate

Hong Kong

Biography

As a member of the Hong Kong regulatory practice with a focus on financial services regulations, Katherine Tsang has over 10 years of experience advising clients on regulatory and compliance laws that apply to financial institutions and intermediaries in Hong Kong, including regulatory matters in the FinTech space. Her clients include banks, insurers, asset managers, brokers, securities firms and FinTech companies. Her experience includes advising clients on authorisation and licensing issues, prudential and business conduct regulations, investment products distribution and structuring of product offerings, product documentation such as retail terms and conditions, and cross-border compliance issues.

Representative experience

Advising one of the world's largest reinsurance company on a restructuring across the Asia Pacific region, including providing detailed local law advice in Hong Kong and Mainland China, and coordinating with local counsel in Japan, Singapore, Malaysia, New Zealand, Australia, South Korea, Taiwan, Guam, India and the Philippines.

Advising Prudential on the £13bn domestication of its Hong Kong branch insurance business, including the US\$21bn transfer of its life insurance business and transfer of its general insurance business to new locally incorporated insurers.

Advising various financial institutions, funds and



Phone

+852 2840 5060

Fax

+852 2219 0222

Email

katherine.tsang@hoganlovells.com

Languages

English
Cantonese
Mandarin

Practices

Commercial
Employment
Strategic Operations, Agreements
and Regulation

Industries

Financial Institutions
Insurance

Education and admissions

investment managers on Types 1, 4 and 9 licence applications with the Securities and Futures Commission, including analyzing the implications of the licensing rules and regulations on the business activities of such financial institutions, and the relevant exemptions.

Advising a US-based bank on issues relating to their bancassurance arrangement with a leading insurer, including the provision of assistance in relation to the policy and marketing materials of certain life products to ensure they are compliant with GL16 published by the Insurance Authority.

Advising a leading PRC-based technology company and a US-based international bank on the implications of the Securities and Futures Ordinance on their financial technology innovations, such as the application of the licensing regime and the relevant exemptions, and the recent publications by the Securities and Futures Commission on robo-advisory services and online distribution.

Advising a PRC based company on matters in relation to a REIT, including licensing of the REIT manager, ongoing compliance under SFC regulations and application of the REIT Code.

Advising various financial institutions and companies on issues with transfer of employment and pension schemes in group restructurings and mergers and acquisitions.

Latest thinking and events

- Insights and Analysis
 - New SFC/HKMA regulatory framework in Hong Kong for dealing with sophisticated professional investors
- News
 - SFC concludes consultation on regulation of virtual asset trading platforms
- News
 - Hong Kong SFC consults on licensing requirements for virtual asset trading platform operators

Education

LL.B., University College London

P.C.LL., University of Hong Kong

- Insights and Analysis
 - A new licensing regime for stablecoins in Hong Kong
- News
 - Hong Kong government policy announcement on virtual asset exchanges - Hong Kong FinTech Week 2022
- News
 - Virtual Asset Snapshot – Hong Kong