

## Frank Brown

Senior Director  
London

### Biography

Frank Brown is a Senior Director who heads the Financial Services Regulatory Consulting Service which works with our financial services team to deliver a range of regulatory compliance projects from authorization and variation of permission, to mergers and acquisition work, and the initiation and development of transformation projects.

He has extensive experience, both client facing and in consulting, of helping firms navigate complex regulatory requirements, working with both the FCA and the Bank of England.

Frank has a strong reputation for providing clear and practical advice, to C-suite and operational areas, which balances commercial and conduct requirements. He also helps firms assess the business model and the impacts of regulatory change.

He has worked across a wide range of sectors from FinTech start-ups to firms at the heart of the financial sector and varied engagements including acting as a s166 Skilled Person, and undertaking due diligence on large and complex M&A deals.

Prior to consultancy, Frank worked in the banking, insurance and lending sectors, and is able to leverage this hands-on experience to provide realistic and practical advice to firms, which recognises the operational challenges they experience.

### Representative experience



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### Languages

English

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### Practices

Financial Services

Financial Services Regulatory  
Consulting

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### Industries

Financial Institutions

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### Areas of focus

Authorisation Support

Compliance Readiness

Regulatory Change Management

Advising firms on regulatory authorisations.

Supporting an extensive regulatory change programs in the UK FMI sector.

Undertaking s166 Skilled Person work.

Buy side and sell side M&A regulatory due diligence.

Designing and implementing large scale redress and remediation programs.

\*Matter handled prior to joining Hogan Lovells.

## Latest thinking and events

### ■ Insights

- ESG risks and opportunities - beyond recycled coffee cups and net-zero aspirations

### ■ News

- As economic headwinds increase, lenders need to focus more on ensuring good customer outcomes

### ■ News

- Appointed Representatives: FCA confirms changes to increase responsibilities of principal firms

### ■ News

- FCA final Consumer Duty rules and guidance published: time for firms to put the pedal to the metal

### ■ News

- Borrowers in financial difficulty: FCA research report highlights areas of improvement for lenders

### ■ News

- Buy-Now Pay-Later: HM Treasury sets out the government's approach to regulating the market

## Regulatory Due Diligence

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## Education and admissions

### Education

B.A. Journalism/Mass Communication, University of Leicester

Bachelor of Psychology, University of Leicester, BSc(Hons)

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