

Richard Barfield

Adviser
London

Biography

Richard Barfield advises on prudential risk and regulation. He was previously senior director in PwC's Risk and Regulatory practice gaining broad-ranging experience in advising financial service organisations at senior levels and leading engagements to address complex and sensitive issues.

Richard focuses on prudential risk management for start-ups and recently authorised firms, often solo FCA-regulated.

Richard's work covers risk management frameworks, governance, capital and liquidity management, risk appetite, linking risk and remuneration, stress testing, ring-fencing, etc. Richard also conducts mock regulatory interviews for prospective INEDs on risk and audit.

Richard has edited two Practitioner's Guides for Thomson Reuters on Banking Regulation: Mastering the New Regulatory Landscape (2016) and Basel III and Beyond (Thomson Reuters 2011, reprinted in 2012).

As an independent consultant, Richard undertakes a variety of pro bono work on Brexit.

Representative experience

Risk, regulatory and commercial experience: deep familiarity with regulators' expectations.

Recent clients have included FTSE 100 and equivalents with high standards and rigour.



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Languages

English
French

Practices

Corporate Governance and Public
Company Representation
Financial Services Regulatory
Consulting
Hogan Lovells Solutions

Industries

Financial Institutions

Education and admissions

Works directly with board committee members, ExCo and direct reports.

*Matter handled prior to joining Hogan Lovells.

Latest thinking and events

- News
 - Have you responded to the FCA's IFPR Questionnaire?
- Webinar
 - Risk Management Frameworks - take a closer look
- Webinar
 - Prudential risk - getting the best outcomes
- Publications
 - Operational Resilience in Financial Services

Education

BSc (Hons), Manchester University, 1975

FCA - Fellow of the Institute of Chartered Accountants (England and Wales)
