

## Section 16 Advice

Reporting profits relating to insider purchase and sale transactions can be complicated. When you're a company insider, it's vital to know what you need to file with the SEC and when. Whether you're a director, officer, or principal stockholder, you need to be aware of your obligations, particularly SEC Section 16 requirements.

At Hogan Lovells, we work closely with the SEC and are familiar with the SEC's evolving thinking on important issues. We have the world's two most renowned Section 16 lawyers to assist you. This means that we can take you through the SEC review process efficiently and effectively. Keeping you informed. Prepared. And compliant.

### Representative experience

Two of our partners, Peter Romeo and Alan Dye, are recognized as the leading authorities on insider trading law.

"Respected regulatory compliance shop, offering a strong collection of former regulatory officers." — Chambers USA

Our team includes former SEC officials Peter Romeo, (former Chief Counsel of the Division of Corporation Finance), Alan Dye (former Special Counsel to SEC Chairman), and Amy Bowerman Freed (former Deputy Chief Counsel, Division of Corporation Finance).

### Contacts

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### Practices

Capital Markets

Securities and Public  
Company Advisory

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