

Authorisation Support

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Applying for regulatory authorisation can be stressful. Before you start thinking about putting together your application and supporting documents, you must be clear on exactly what regulatory activity you're performing and the permissions required in the UK.

We want to take the stress out of what should be an exciting time. We can guide you through the application process for your new business venture, making it as simple as possible.

Our team of regulatory compliance specialists includes members who have joined us from the FCA Authorisations department. We also have access to our legal practice to provide subject matter expertise at every stage of your application journey.

Understanding your business and how it operates is crucial. Our team has experience working with a diverse range of clients from businesses seeking their first authorisation to global regulated entities looking to

Practices

Financial Services
Regulatory Consulting

bring an existing service to the UK. Our credentials make us uniquely placed to support any application or product launch.

We can provide support in the following areas:

- Advice on requirements for UK authorisation
- Support with regulatory interaction
- Review and design of existing materials and governance structures
- Design and implementation of compliance frameworks
- Advice and drafting of regulatory business plans

We can also help you identify post-authorisation requirements including independent monitoring for ongoing assurance.

Representative experience

Supporting a large corporate applying for payment services authorisation, including completing the FCA application pack and drafting and reviewing the regulatory business plan and other supporting documents.

Assisting a fintech launching a lifestyle app with its application to become an account information services provider.

Helping a global payment services provider to obtain various permissions in preparation for the potential implications of Brexit.

Assisting a leading challenger bank in obtaining a variation of permission to enable it to undertake a wider range of financial services activities.