

Allen Hicks

Partner

Washington, D.C.

Biography

Allen Hicks is a trusted advisor that helps his clients execute complex strategic transactions, raise and invest capital, and navigate the securities laws. He draws upon a diverse skill set developed through two decades of experience with a broad range of companies and industries to provide practical advice and insights for his clients.

Allen has represented public and privately held companies on strategic domestic and cross-border transactions, such as mergers and acquisitions (M&A), stock and asset purchases, divestitures, investments, tender offers and restructurings/reorganizations. He also regularly advises public companies on Securities and Exchange Commission (SEC) reporting and disclosure obligations, New York Stock Exchange (NYSE) and NASDAQ listing requirements, corporate governance, board and shareholder matters, and capital markets offerings of equity and debt.

Over his career, he has represented clients in the U.S. and internationally across various industry sectors, including life sciences and health care, aerospace and defense, government, diversified industrials, financial services, insurance, hotel and lodging, real estate investment trusts (REITs), and technology. His clients range from large, global corporations to middle-market and emerging growth companies and investors to nonprofit organizations.

Before joining the firm, Allen served as Chief Counsel to U.S. Senate Majority Leader William H. Frist. Passionate



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Practices

Capital Markets

Corporate Governance and
Public Company Representation

Mergers and Acquisitions

Securities and Public Company
Advisory

Government Relations and
Public Affairs

Industries

Aerospace and Defense

Life Sciences and Health Care

Insurance

Real Estate

Technology and Telecoms

about the firm's commitment to Pro Bono and Citizenship, Allen also is active in supporting anti-sexual violence organization RAINN and helping find a cure for type 1 diabetes through the Juvenile Diabetes Research Foundation (JDRF).

Representative experience

Represented Labcorp (NYSE: LH) in over US\$5bn of acquisitions, including Genzyme Genetics, Monogram Biosciences, Liposcience, Sequenom, Chiltern, Sciformix, Envigo and Personal Genome Diagnostics

Represented Labcorp (NYSE: LH) in complex legal entity rationalization, reorganization and rebranding initiatives involving more than 100 legal entities in more than 50 countries

Represented OptumInsight of UnitedHealth Group (NYSE: UNH) in its US\$1.3bn acquisition of the health care business of The Advisory Board and OptumRX in its US\$300m acquisition of Diplomat Pharmacy

Represented General Electric (NYSE: GE) Capital in the US\$9bn sale of its healthcare financial services business to Capital One

Represented Lockheed Martin Corporation (NYSE: LMT) in multiple strategic acquisitions and more than US\$11bn in debt securities offerings

Represented REIT American Homes 4 Rent (NYSE: AMH) in more than US\$1.3bn of common and preferred stock offerings, including a US\$880m IPO

Represented Dun & Bradstreet Corporation (NYSE: DNB) in its acquisitions of technology companies NetProspex and Avention

Represented a nonprofit U.S. foundation in a reorganization of its holdings in a hotel and residential real estate resort development in Costa Ric

Awards and rankings

- Capital Markets, Notable Practitioner, *IFLR1000*, 2020

Manufacturing and Industrials

Areas of focus

Raising Debt Capital
Raising Equity Capital
Initial Public Offerings
Stock Exchange Listings and Regulatory Compliance
Securities and Financial Regulatory Advice
Disclosure and Reporting Obligations
Proxy Solicitations, Shareholder Meetings, and Shareholder Proposals
Legislation
Capital Markets and Tax
Public Company Mergers and Acquisitions
Cross-border Mergers and Acquisitions
Carve-outs, Spin-offs, and Split-offs

Education and admissions

Education

J.D., University of Tennessee, 2000
M.B.A., University of Tennessee, 2000
A.B., Stanford University, 1993

Memberships

- M&A, Notable Practitioner, *IFLR1000*, 2020

Member, Business Law Section,
American Bar Association

Latest thinking and events

- News
 - SEC enforcement targets beneficial ownership reporting violations
- Hogan Lovells Events
 - J.P. Morgan Healthcare Conference
- News
 - SEC brings Regulation FD enforcement action for selective disclosure via social media
- News
 - Mandatory compliance nears for remaining Section 13 reporting requirements
- News
 - Highlights of new SEC rules on climate-related disclosures
- News
 - Agencies issue guidance on delayed SEC reporting of material cybersecurity incidents

Bar admissions and qualifications

District of Columbia

Georgia
